Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 06189/HG DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/577,982 PRIORITY DATE CLAIMED 7 NOVEMBER 2003; 16 APRIL 2004 INTERNATIONAL FILING DATE 4 NOVEMBER 2004 INTERNATIONAL APPLICATION NO. PCT/JP2004/016715 TITLE OF INVENTION METHOD OF DETECTING GENETIC POLYMORPHISM APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. L Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🔲 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 16. l A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. L Express Mail Label No. EV 919 330 588 US 339 577 US DATE OF DEPOSIT: AUGUST 3, 2006 Other items or information: 20. L

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**Dorothy DeFrancesco** 

PTO-1390 (Rev. 02-2005)
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Makoto KOIZUMI

Serial No. : 10/577,982

Docket No. : 06189/HG

Filed : May 2, 2006

For : METHOD OF DETECTING GENETIC

POLYMORPHISM

Customer No.: 01933

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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This application is a United States national phase application under 35 USC 371 of International application PCT/JP2004/016715.

Submitted herewith are the following:

- (1) a copy of the International Search Report for PCT/JP2004/016715;
- (2) copies of publications cited in the aforesaid International Search Report;
- (3) copies of publications disclosed on pages 2 to 6 of the present specification; and
  - (4) Forms PTO/SB/08A and PTO/SB/08B.

Each of the publications cited in said International Search Report is considered relevant or material to the patentability of the present invention, in view of the citation thereof in said Search Report.

Said International Search Report is in English, thereby satisfying the requirements for a concise explanation of relevance for the publications cited therein.

It is respectfully requested that initialed copies of the enclosed Forms PTO/SB/08A and PTO/SB/08B be returned to the undersigned to indicate that the publications listed therein have been considered and made of record.

Frishauf, Holtz, Goodman & Chick, P.C. 220 Fifth Avenue, 16th Fl. New York, NY 10001-7708

Tel. Nos. (212) 319-4900 (212) 319-4551/Ext. 219 Fax No.: (212) 319-5101

E-Mail Address: BARTH@FHGC-LAW.COM RSB/ddf

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Respectfully submitted,

Richard S. Barth Reg. No. 28,180

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| Substitute for Form 1449A/PTO                    | Application Number        | 10/577,982                          |  |
|  | Filing Date               | May 2, 2006                         |  |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT | First Named Inventor      | Makoto KOIZUMI                      |  |

06189/HG Attorney Docket Number of

## U.S. PATENT DOCUMENTS

Group Art Unit

Examiner Name

| Exam.<br>Inits* | Cite<br>No¹ | Document Number | Kind<br>Code² | Name of Patentee or<br>Applicant | Publication<br>Date MM-DD-YYYY | Relevant<br>Portion |
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## FOREIGN PATENT DOCUMENTS

| Exam<br>Inits' | Cite<br>No¹ | Offc³ | Document Number4 | Kind<br>Code⁵ | Name of Patentee or<br>Applicant | Publication Date MM-DD-YYYY | Relevant<br>Portion | T <sup>6</sup> |
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|                |             | JP    | 3420984          | B2            | SANKYO CO., LTD.                 | 4-18-2003                   |                     | *              |
|                |             | JP    | 2000-297097      | A             | SANKYO CO., LTD.                 | 10-24-2000                  |                     | **             |
|                |             | JР    | 10-304889        | A             | IMANISHI                         | 11-17-1998                  |                     | **             |
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¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Place a check here if English translation is attached.

DATE MAILED: <u>August 3, 2006</u>
\*PARTIAL ENGLISH-LANGUAGE TRANSLATION ENCLOSED

<sup>\*\*</sup>ENGLISH-LANGUAGE ABSTRACT ENCLOSED

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|   |                                    |  |   |          | Filing Dat                          | :e             | May 2, 2006   |    |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT |                                    |  |   |          | First Named Inventor Makoto KOIZUMI |                |   |    |  |
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| Examiner<br>Initials <sup>1</sup>             | Cite<br>No.1                       |  |   |          |                                     |                | article, title of item, date,<br>d/or country where published | T² |  |
|   |                                    | diseas<br>Henry  | Leonid KRUGLYAK, "Prospects for whole-genome linkage disequilibrium mapping of common disease genes," Nature Genetics, vol. 22, June 1999, pp. 139-144.  Henry A. ERLICH et al., "Recent Advances in the Polymerase Chain Reaction," Science, June 21, 1999, Vol. 252, pp. 1643-1651. |          |                                     |                |   |    |  |
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|   |                                    | David LATORRA et al., "Design considerations and effects of LNA in PCR primers," <u>Molecular and Cellular Probes</u> , (2003), 17, pp. 253-259.   |   |          |                                     |                |   |    |  |
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| Substitute fo                      | r Form 144:  | 9A/PTO   | Application Number 10/577,982 |                |    |  |
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|                                    |  | •        | Filing Date                   | May 2, 2006    |    |  |
| INFORMATION<br>STATEMENT B         |  |          | First Named Inventor          | Makoto KOIZUMI |    |  |
|                                    |  |          | Group Art Unit                |                |    |  |
|                                    |  |          | Examiner Name                 |                |    |  |
| Sheet 3                            | of   | 3        | Attorney Docket Number        | 06189/HG       |    |  |
|                                    | OTHER D  | OCUMENTS | - NON-PATENT LITERAT          | URE DOCUMENTS  |    |  |
| Examiner Cite Initials No. 1       |  |          |                               |                | T² |  |
|                                    | Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published  Björn TEWS et al., "Application of the C4'-Alkylated Deoxyribose Primer System (CAPS) in Allele-Specific Real-Time PCR for Increased Selectivity in Discrimination of Single Nucleotide Sequence Variants," Biol. Chem., (2003) Vol. 384, pp. 1533-1541.  Makoto KOIZUMI et al., "Improvement of single nucleotide polymorphism genotyping by allele-specific PCR using primers modified with an ENA residue," Analytical Biochemistry, (2005), 340, pp. 287-294.  Makoto KOIZUMI et al., "SNP genotyping by allele-specific PCR using ENA primers," Nucleic Acid Symposium Ser., (2005), No. 49, pp. 47-48.  Makoto KOIZUMI et al., "Triplex formation with 2'-O,4'-C-ethylene-bridged nucleic acids (ENA) having C3'-endo conformation at physiological pH," Nucleic Acids Research, (2003), Vol. 13, No. 12, pp. 3267-3273.  Koji MORITA et al., "2'-O,4'-C-Ethylene-Bridged Nucleic Acids (ENA): Highly Nuclease-Resistant and Thermodynamically Stable Oligonucleotides for Antisense Drug," Bioorganic & Medicinal Chemistry Letters, (2002) 12, pp. 73-76.  Masafumi MATSUO et al., "Study of Ability of Antisense ENA to Induce Exon Skipping of Dystrophin Gene," Kosei Rodosho Seishin Shinkei Shikkan Kenkyu Itakuhi ni yoru Kenkyu Hokokushu, Heisei 14 Nendo, July 2003, p. 590. |          |                               |                |    |  |
| Examiner Date Signature Considered |  |          |                               |                |    |  |

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